

DOCKET: CU-3271

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Byung Kwon AHN et al.

Serial No.: 10/603,306

Group Art Unit: 2810

Filed: June 25, 2003

Examiner:

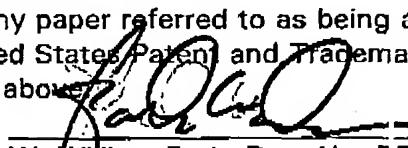
For: METHOD FOR FORMING CAPACITOR OF SEMICONDUCTOR DEVICE

**Certification under 37 C.F.R. §1.8(a)**

The USPTO Fax No. (703) 872-9318 (TC2800: Before Final)

Date of Fax Transmittal: January 22, 2004

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted to the United States Patent and Trademark Office to the fax number and on the date indicated above.

  
W. William Park, Reg. No. 55,523

The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RE-TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (IDS) FILED  
AUGUST 6, 2003 WITH CORRECTION OF AN INADVERTENT TYPOGRAPHICAL  
ERROR BEFORE MAILING OF FIRST OFFICE ACTION**

An inadvertent typographical error was discovered in the IDS already filed on August 6, 2003 in the above-identified application. In the "Form PTO-1449 (Modified)" page 3 of the earlier filed IDS, the U.S. Patent No. 6,014,310 (Bronner et al.) issued on January 11, 2000 was inadvertently typed with the number "6,104,310." A correct paper copy of the patent reference has already been submitted with the IDS on August 6, 2003.

Attached hereto are:

(1) A replacement "Form PTO-1449 (Modified)" (clean version) for the Examiner's acknowledgement;

(2) A copy of the stamped return postcard acknowledging the USPTO's safe receipt of the IDS previously filed on August 6, 2003; and

(3) A copy of the IDS previously filed on August 6, 2003, with the error marked up with pen.

An Associate Power Attorney appointing Woochoon William Park, Reg. No. P-55,523 as an associate attorney of the above-identified application is also attached.

Please replace the "Form PTO-1449 (Modified)" with the clean version submitted herewith.

Date: January 22, 2004



W. William Park, Reg. No. 55,523  
Ladas & Parry  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300

**Form PTO-1449 (Modified)**

FORM PTO-1449	ATTY. DOCKET NO. <b>CU-3271</b>	SERIAL NO. <b>10/603,306</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Applicant <b>Byung Kwon AHN et al.</b>	
137 CFR 1.98(b))	FIING DATE <b>June 25, 2003</b>	GROUP

## U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRAN- SLATION YES	TRAN- SLATION NO
	2000 6 8 1 6 0	03/03/00	JAPAN				X
	2000 3 5 3 7 8 7	11/22/00	JAPAN				X

**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

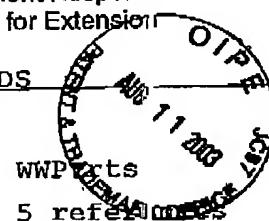
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the item checked below:

New pat./design/PCT appln.  Assignment & Recordal Cover Sheet  
 Transmittal letter  CC of priority document  
 Fee Transmittal (PTO/SB/17)  Application Data Sheet  
 \_\_\_\_\_ pages spec./abstract  Amendment/Response  
 \_\_\_\_\_ pages of \_\_\_\_\_ claims  Request for Extension  
 \_\_\_\_\_ sheets of drawings  Fee  
 Declaration/Power  Other IDS

APPLICANT: Byoung Kwon AHN et al.



SERIAL NO: 10/603,306

WWP:arts

FILED: June 25, 2003

5 ref

TITLE: METHOD FOR FORMING CAPACITOR ON  
SEMICONDUCTOR DEVICE

DOCKET: CU-3271 DATE: August 6, 2003

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Byung Kwon AHN et al.

Serial No.: 10/603,306

Group Art Unit:

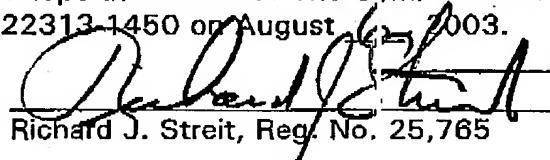
Filed: June 25, 2003

Examiner:

For: METHOD FOR FORMING CAPACITOR OF SEMICONDUCTOR DEVICE

**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on August 26, 2003.

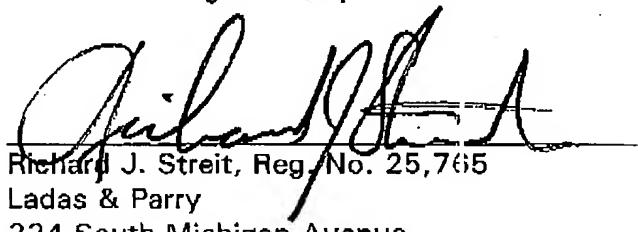
  
Richard J. Streit, Reg. No. 25,765

The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (IDS) WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

No fee is believed to be required in view of the above. However if this is not the case, the Commissioner is authorized to charge our Deposit Account No. 12-0400 for any required fees.

Date: 8/6/03  
Richard J. Streit, Reg. No. 25,765

Ladas & Parry  
224 South Michigan Avenue  
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Tel. No. (312) 427-1300

DOCKET: CU-3271

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Byung Kwon AHN et al.

Serial No.: 10/603,306

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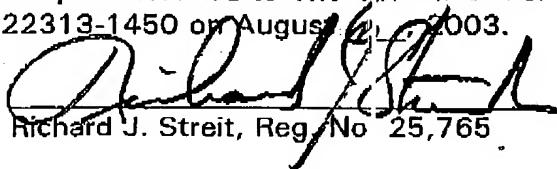
Filed: June 25, 2003

Examiner:

For: METHOD FOR FORMING CAPACITOR OF SEMICONDUCTOR DEVICE

**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on August 25, 2003.

  
Richard J. Streit, Reg. No. 25,765

The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

The Statement is made on the basis of the information:

- supplied by the inventor(s);
- supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c));
- in the attorney's file; or
- cited in a corresponding PCT, EPO, and/or foreign application.

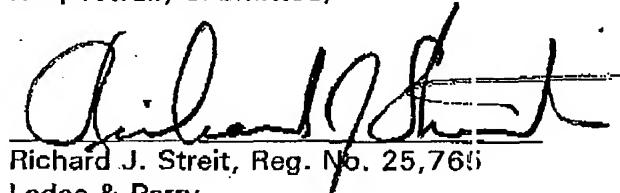
Additional comments, if any, on the relevance of each reference listed are provided as follows:

The Statement is made on the basis that the present submission of the IDS is before the mailing date of the first Office Action.

Full translation of the Japanese references submitted herewith are not available, but English language Abstracts are attached thereto.

Date: 8/6/03

Respectfully submitted,



Richard J. Streit, Reg. No. 25,765  
Ladas & Parry  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300

**Form PTO-1449 (Modified)**

(MARKED-UP)

FORM PTO-1449	ATTY. DOCKET NO. <b>CU-3271</b>	SERIAL NO. <b>10/603,306</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant <b>Byung Kwan AHN et al.</b>	
137 CFR 1.98(b))	FILING DATE <b>June 25, 2003</b>	GROUP

## **U.S. PATENT DOCUMENTS**

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**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form FTO-1449)